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Please type a plus sign (+) inside this box → ☐**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 393032030000

First Inventor Takaaki SHIBATA

Title COMMUNICATION TERMINAL APPARATUS AND METHOD OF CONTROLLING THE SAME, SERVER APPARATUS AND METHOD OF CONTROLLING THE SAME, AND STORAGE MEDIA

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Date of Deposit: January 11, 2002

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Marco Jimenez

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
3. ☒ Specification [Total Pages 52]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 14]
5. Oath or Declaration [Total Pages 3]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney
11. ☐ English Translation document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citation(s)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
Should be specifically itemized
15. ☒ Certified Copy of Priority Document (1)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

**18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:**☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \*

Prior application information

Examiner \*

Group / Art Unit \*

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

25224

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

|                   |                              |                                   |                       |          |                |
|-------------------|------------------------------|-----------------------------------|-----------------------|----------|----------------|
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| Name (Print/Type) | David L. Fehrman             | Registration No. (Attorney/Agent) | 28,600                |          |                |
| Signature         | David L. Fehrman             |                                   | Date January 11, 2002 |          |                |

# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

☐ Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$1284.00)

## Complete if Known

Application Number Currently unknown

Filing Date Concurrently herewith

First Named Inventor Takaaki SHIBATA

Examiner Name Currently unknown

Group Art Unit Currently unknown

Attorney Docket No. 393032030000

## METHOD OF PAYMENT

☐ Check ☐ Credit Card ☐ Money Order ☐ OtherDeposit  
Account  
Number

03-1952

Deposit  
Account  
Name

Morrison &amp; Foerster LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large    | Entity   | Small    | Entity   | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee (\$) | Fee (\$) |                        |          |
| 101      | 740      | 201      | 370      | Utility filing fee     | 740      |
| 106      | 330      | 206      | 160      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$740.00)

## 2. EXTRA CLAIM FEES

| Total Claims       | 15 | - 20** = | 0 | x | 18 | = | \$0   |
|--------------------|----|----------|---|---|----|---|-------|
| Independent Claims | 9  | - 3** =  | 6 | x | 84 | = | \$504 |

Multiple Dependent

= \$0

| Large    | Entity   | Small    | Entity   | Fee Description   | Fee Paid |
|----------|----------|----------|----------|---|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |   |          |
| 103      | 18       | 203      | 9        | Claims in excess of 20                                    |          |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                         |          |
| 104      | 280      | 204      | 140      | Multiple dependent claims, if not paid                    |          |
| 109      | 84       | 209      | 42       | **Reissue independent claims over original patent         |          |
| 110      | 18       | 210      | 9        | **Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$504.00)

\*\* or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large    | Entity   | Small    | Entity   | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |  |          |
| 105      | 130      | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 112      | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                       |          |
| 113      | 1,840*   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                          |          |
| 115      | 110      | 215      | 55       | Extension for reply within first month                                       |          |
| 116      | 400      | 216      | 200      | Extension for reply within second month                                      |          |
| 117      | 920      | 217      | 460      | Extension for reply within third month                                       |          |
| 118      | 1,440    | 218      | 720      | Extension for reply within fourth month                                      |          |
| 128      | 1,960    | 228      | 980      | Extension for reply within fifth month                                       |          |
| 119      | 320      | 219      | 160      | Notice of Appeal   |          |
| 120      | 320      | 220      | 160      | Filing a brief in support of an appeal                                       |          |
| 121      | 280      | 221      | 140      | Request for oral hearing   |          |
| 138      | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                                |          |
| 140      | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141      | 1,280    | 241      | 640      | Petition to revive - unintentional   |          |
| 142      | 1,280    | 242      | 640      | Utility issue fee (or reissue)   |          |
| 143      | 440      | 243      | 220      | Design issue fee   |          |
| 144      | 600      | 244      | 300      | Plant issue fee  |          |
| 122      | 130      | 122      | 130      | Petitions of the Commissioner  |          |
| 123      | 50       | 123      | 50       | Petitions related to provisional applications                                |          |
| 126      | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                    |          |
| 581      | 40       | 581      | 40       | Recording each patent assignment per properties (times number of properties) | 40       |
| 146      | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |
| 149      | 740      | 249      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))             |          |
| 179      | 740      | 279      | 370      | Request for Continued Examination (RCE)                                      |          |
| 169      | 900      | 169      | 900      | Request for expedited examination of a design application                    |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40.00)

## SUBMITTED BY

Name (Print/Type)

David L. Fehrman

Registration No.  
(Attorney/Agent)

28,600

Complete (if applicable)

Telephone

(213) 892-5601

Signature

Date

January 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

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